GNN-SL: Sequence Labeling Based on Nearest Examples via GNN

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Abstract

To better handle long-tail cases in the sequence labeling (SL) task, in this work, we introduce graph neural networks sequence labeling (GNN-SL), which augments the vanilla SL model output with similar tagging examples retrieved from the whole training set. Since not all the retrieved tagging examples benefit the model prediction, we construct a heterogeneous graph, and leverage graph neural networks (GNNs) to transfer information between the retrieved tagging examples and the input word sequence. The augmented node which aggregates information from neighbors is used to do prediction. This strategy enables the model to directly acquire similar tagging examples and improves the general quality of predictions. We conduct a variety of experiments on three typical sequence labeling tasks: Named Entity Recognition (NER), Part of Speech Tagging (POS), and Chinese Word Segmentation (CWS) to show the significant performance of our GNN-SL. Notably, GNN-SL achieves SOTA results of 96.9 (+0.2) on PKU, 98.3 (+0.4) on CITYU, 98.5 (+0.2) on MSR, and 96.9 (+0.2) on AS for the CWS task, and results comparable to SOTA performances on NER datasets, and POS datasets.¹

1 Introduction

Sequence labeling (SL) is a fundamental problem in NLP, which encompasses a variety of tasks e.g., Named Entity Recognition (NER), Part of Speech Tagging (POS), and Chinese Word Segmentation (CWS). Most existing sequence labeling algorithms (Clark et al., 2018; Zhang and Yang, 2018; Bohnet et al., 2018; Shao et al., 2017; Meng et al., 2019) can be decomposed into two parts: (1) representation learning: mapping each input word to a higher-dimensional contextual vector using neural network models such as LSTMs (Huang et al., 2019), CNNs

(Wang et al., 2020), or pretrained language models (Devlin et al., 2018); and (2) classification: fitting the vector representation of each word to a softmax layer to obtain the classification label.



Figure 1: Example for the NER assignment when given a similar example.

Because the protocol described above relies on the model's ability to memorize the characteristics of training examples, its performance plummets when handling long-tail cases or minority categories: it is hard for models to memorize long-tail cases with a relatively small number of gradient updates during training. Intuitively, it's easier for a model to make predictions on long-term cases at test time when it is able to refer to similar training examples. For example, in Figure 1, the model can more easily label the word "Phoenix" in the given sentence "Hornak moved on from Tigers to Phoenix for studies and work" as an "ORGANIZATION" entity when referring to a similar example "Hornak signed an accomplished performance in a Tigers display against Phoenix".

Benefiting from the success of augmented models in NLP (Khandelwal et al., 2019, 2020; Guu et al., 2020; Lewis et al., 2020; Meng et al., 2021b), a simple yet effective method to mitigate the above issues is to apply the k nearest neighbors (kNN) strategy: The kNN model retrieves k similar tagging examples from a large cached datastore for each input word and augments the prediction with the probability computed by the cosine similarity between the input word and each of the retrieved nearest neighbors. Unfortunately, there is a significant shortcoming of this strategy. Retrieved neighbors are related to the input word in different ways: some are related in semantics while others

¹Code is available at https://github.com/ ShuheWang1998/GNN-SL.

in syntactic, some are close to the original input word while others are just noise. A more sophisticated model is required to model the relationships between retrieved examples and the input word.

In this work, inspired by recent progress in combining graph neural networks (GNNs) with augmented models (Meng et al., 2021b), we propose GNN-SL to provide a general sequence-labeling model with the ability of effectively referring to training examples at test time. The core idea of GNN-SL is to build a graph between the retrieved nearest training examples and the input word, and use graph neural networks (GNNs) to model their relationships. To this end, we construct an undirected graph, where nodes represent both the input words and retrieved training examples, and edges represent the relationship between each node. The message is passed between the input words and retrieved training examples. In this way, we are able to more effectively harness evidence from the retrieved neighbors in the training set and by aggregating information from them, better token-level representations are obtained for final predictions.

To evaluate the effectiveness of GNN-SL, we conduct experiments over three widely-used sequence labeling tasks: Named Entity Recognition (NER), Part of Speech Tagging (POS), and Chinese Word Segmentation (CWS), and choose both English and Chinese datasets as benchmarks. Notably, applying the GNN-SL to the ChineseBERT (Sun et al., 2021), a Chinese robust pre-training language model, we achieve SOTA results of 96.9 (+0.2) on PKU, 98.3 (+0.4) on CITYU, 98.5 (+0.2) on MSR, and 96.9 (+0.2) on AS for the CWS task. We also achieve performances comparable to current SOTA results on CoNLL, OntoNotes5.0, OntoNotes4.0 and MSRA for NER, and CTB5, CTB6, UD1.4, WSJ and Tweets for POS. We also conduct comprehensive ablation experiments to better understand the working mechanism of GNN-SL.

2 Related Work

Sequence Labeling Sequence labeling (SL) encompasses a variety of NLP tasks e.g., Named Entity Recognition (NER), Part of Speech Tagging (POS), and Chinese Word Segmentation (CWS). With the development of machine learning, neural network models have been widely used as the backbone for the sequence labeling task. For example, Hammerton (2003) use unidirectional LSTMs to solve the NER task, Collobert et al. (2011) and

Lample et al. (2016) combine CRFs with CNN and LSTMs respectively. To extract fine-grained information of words, Ma and Hovy (2016) and Chiu and Nichols (2016) add character features via character CNN. Liu et al. (2018a); Lin et al. (2021); Cui and Zhang (2019) focus on the decoder including more context information into the decoding word. Recently there have been several efforts to optimize the SL task from different views: interpolating latent variables (Lin et al., 2020; Shao et al., 2021); combining positive information (Dai et al., 2019); viewing it as a machine reading comprehension (MRC) task (Li et al., 2019a,b; Gan et al., 2021).

Retrieval Augmented Model Retrieval augmented models additionally use the input to retrieve information from the constructed datastore to the model performance. As described in Meng et al. (2021b), this process can be understood as "an open-book exam is easier than a close-book exam". The retrieval augmented model is more familiar in the question answering task, in which the model generates related answers from a constructed datastore (Karpukhin et al., 2020; Xiong et al., 2020; Yih, 2020). Recently other NLP tasks have introduced this approach and achieved a good performance, such as language modeling (LM) (Khandelwal et al., 2019; Meng et al., 2021b), dialog generation (Fan et al., 2020; Thulke et al., 2021), neural machine translation (NMT) (Khandelwal et al., 2020; Meng et al., 2021a; Wang et al., 2021).

Graph Neural Networks The key idea behind graph neural networks (GNNs) is to aggregate feature information from the local neighbors of the node via neural networks (Liu et al., 2018b; Veličković et al., 2017; Hamilton et al., 2017). Recently more and more researchers have proved the effectiveness of GNNs in the NLP task. For text classification, Yao et al. (2019) uses a Text Graph Convolution Network (Text GCN) to learn the embeddings for both words and documents on a graph based on word co-occurrence and document word relations. For question answering, Song et al. (2018) performs evidence integration forming more complex graphs compared to DAGs, and De Cao et al. (2018) frames the problem as an inference problem on a graph, in which mentions of entities are nodes of this graph while edges encode relations between different mentions. For information extraction, Lin et al. (2020) characterizes the complex interaction between sentences and potential relation instances via a graph-enhanced dual attention network (GEDA). For the recent work GNN-LM, Meng et al. (2021b) builds an undirected heterogeneous graph between an input context and its semantically related neighbors selected from the training corpus, GNNs are constructed upon the graph to aggregate information from similar contexts to decode the token.

3 kNN-SL

Sequence labeling (SL) is a typical NLP task, which assigns a label $y \in Y$ to each word w in the given input word sequence $x = \{w_1, \ldots, w_n\}$, where n denotes the length of the given sentence. We assume that $\{\mathcal{X}, \mathcal{Y}\} = \{(x^1, y^1), \ldots, (x^N, y^N)\}$ denotes the training set, where $(x^i, y^i), \forall 1 \leq i \leq N$ denotes the pair containing a word sequence and its corresponding label sequence. Let N be the size of the training set.

3.1 kNN-SL

The key idea of the kNN-SL model is to augment the process of classification during the inference stage with a k nearest neighbor retrieval mechanism, which can be split into the following pipelines: (1) using an already-trained sequence labeling model (e.g., BERT (Devlin et al., 2018) or RoBERTa (Liu et al., 2019)) to obtain word representation h for each token with the input word sequence; (2) using h as the query and finding the most similar k tokens in the cached datastore which is constructed by the training set; and (3) augmenting the classification probability generated by the vanilla SL model (i.e., p_{vanilla}) with the kNN label distribution p_{k} NN to obtain the final distribution.

Vanilla probability p_{vanilla} For a given word w, the output h generated from the last layer of the vanilla SL model is used as its representation, where $h \in \mathbb{R}^m$. Then h is fed into a multi-layer perceptron (MLP) to obtain the probability distribution p_{vanilla} via a softmax layer:

$$p_{\text{vanilla}}(y|w,x) = \text{softmax}(\text{MLP}(h))$$
 (1)

kNN-augmented probability p_{kNN} . For each word w, its corresponding embedding h is used to query k nearest neighbors set \mathcal{N} from the training set using L^2 Euclidean distance $d(h,\cdot)$ as similarity measure. The retrieved nearest neighbors set \mathcal{N} is formulated as (key, value) pairs, where key represents the retrieved similar word and value represents the corresponding SL label.

Then the retrieved examples are converted into a distribution over the label vocabulary based on an RBF kernel output (Vert et al., 2004) of the distance to the original embedding h:

$$p_{\text{kNN}}(y|w,x) \propto \sum_{(k,v)\in\mathcal{N}} \mathbb{1}_{y=v} \exp(\frac{-d(k,h)}{T})$$
(2)

where T is a temperature parameter to flatten the distribution. Finally, the vanilla distribution $p_{\text{vanilla}}(y|w,x)$ is augmented with $p_{\text{kNN}}(y|w,x)$ generating the final distribution $p_{\text{final}}(y|w,x)$:

$$p_{\text{final}}(y|w,x) = \lambda p_{\text{vanilla}}(y|w,x) + (1-\lambda)p_{\text{kNN}}(y|w,x)$$
(3)

where λ is adjustable to make a balance between kNN distribution and vanilla distribution.

4 GNN-SL

4.1 Overview

Intuitively, retrieved neighbors are related to the input word in different ways: some are similar in semantics while others in syntactic; some are very similar to the input word while others are just noise. To better model the relationships between retrieved neighbors and the input word, we propose graph neural networks sequence labeling (GNN-SL).

The proposed GNN-SL can be decomposed into five steps: (1) obtaining token features using a pre-trained vanilla sequence labeling model, which is the same as in KNN-SL; (2) obtaining k nearest neighbors from the whole training set for each input word; (3) constructing an undirected graph between each word within the sentence and its k nearest neighbors; (4) obtaining aggregated word representations through messages passing along the graph; and (5) feeding the aggregated word representation to the softmax layer to obtain the final label. The full pipeline is shown in Figure 2.

For steps (1) and (2), they are akin the strategies taken in KNN-SL. We will describe the details for steps (3) and (4) in order below.

4.2 Graph Construction

We formulate the graph as $\mathcal{G} = (\mathcal{V}, \mathcal{E}, \mathcal{A}, \mathcal{R})$, where \mathcal{V} represents a collection of nodes v and \mathcal{E} represents a collection of edges e. \mathcal{A} refers to node types and \mathcal{R} refers to edge types.

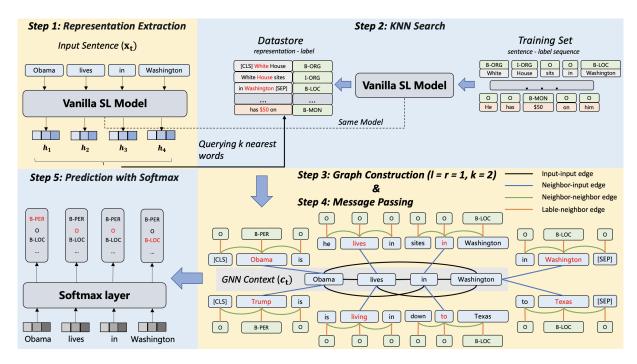


Figure 2: An example for the process of GNN-SL. **Step 1 Representation Extraction**: Suppose that we need to extract named entities for the given sentence: *Obama lives in Washington*. The representation for each word is the last hidden state of the pretrained vanilla sequence labeling model. **Step 2** kNN **Search**: Obtaining k nearest neighbors for EACH input word in the cached datastore, which consists of representation-label pairs for all training data. **Step 3&4 Graph Construction & Message Passing**: The queried k nearest neighbors and the input word are constructed into a graph. The message is passed from the nearest neighbors to each input word to obtain the aggregated representation. **Step 5 Prediction with Softmax**: The aggregated representation of each word is passed to a softmax layer to compute the likelihood of the assigned label.

Nodes In the constructed graph, we define three types of nodes A:

- (1) **Input** nodes, denoted by $a_{\text{input}} \in \mathcal{A}$, which correspond to words of the input sentence. In the example of Figure 2 Step 3, the input nodes are displayed with the word sequence $x = \{\text{Obama, lives, in, Washington}\};$
- (2) **Neighbor** nodes, denoted by $a_{\text{neighbor}} \in \mathcal{A}$, which correspond to words in the retrieved neighbors. The context of nearest neighbors is also included (and thus treated as neighbor nodes) in an attempt to capture more abundant contextual information for the retrieved neighbors. For the example in Figure 2, for each input word with representation h, k nearest neighbors are queried from the cached representations of all words in the training set with the L2 distance as the metric of similarity. Taking the input word {Obama} as the example with k = 2, we obtain two nearest neighbors {Obama, Trump} leveraging the kNN search. The contexts of each retrieved nearest neighbor are also considered by adding both left and right contexts around the retrieved nearest neighbor, where {Obama} is expanded to

{[CLS], Obama, is} and {Trump} is expanded to {[CLS], Trump, is} 2 . The analysis of the size of the context is conducted in Section 6.

(3) **Label** nodes, denoted by $a_{label} \in \mathcal{A}$, since the labels of nearest neighbors provide important evidence for the input node to classify, we wish to pass the influence of neighbors' labels to the input node along the graph. As will be shown in ablation studies in Section 6, the consideration of label nodes introduces a significant performance boost. Shown in Figure 2 Step 3, taking the input word {Obama} as the example, the two retrieved nearest neighbors are {Obama} and {Trump}, and both the corresponding node labels are {B-PER}.

With the above formulated, A can be rewritten as $\{a_{\text{input}}, a_{\text{neighbor}}, a_{\text{label}}\}$.

Edges Given the three types of nodes $\mathcal{A} = \{a_{\text{input}}, a_{\text{neighbor}}, a_{\text{label}}\}$, we connect them using different types of edges to enable information passing.

We define four types of edges for \mathcal{R} : (1) edges within the input nodes a_{input} , notated by $r_{\text{input-input}}$;

²[CLS] is a special token usually applied in pre-training language models (e.g., BERT) representing the beginning of a sentence.

(2) edges between the neighbor nodes $a_{\rm neighbor}$ and the input nodes $a_{\rm input}$, notated by $r_{\rm neighbor-input}$; (3) edges within the neighbor nodes $a_{\rm neighbor}$, notated by $r_{\rm neighbor-neighbor}$; and (4) edges between the label nodes $a_{\rm label}$ and the neighbor nodes $a_{\rm neighbor}$, denoted by $r_{\rm label-neighbor}$. All types of edges are bidirectional which allows information passing on both sides. We use different colors to differentiate different relations in Figure 2 Step 3.

For $r_{\rm input-input}$ and $r_{\rm neighbor-neighbor}$, they respectively mimic the attention mechanism to aggregate the context information within the input word sequence or the expanded nearest context, which are shown with the black and green color in Figure 2. For $r_{\rm neighbor-input}$, it connects the retrieved neighbors and the query input word, transferring the neighbor information to the input word. For $r_{\rm label-neighbor}$ colored with orange, information is passed from label nodes to neighbor nodes, which is ultimately transferred to input nodes.

4.3 Message Passing On The Graph

Given the constructed graph, we next use graph neural networks (GNNs) to aggregate information based on the graph to obtain the final representation for each token to classify. More formally, we define the l-th layer representation of node n as follows:

$$h_n^l = \underset{\forall s \in \mathcal{N}(n)}{\operatorname{Aggregate}} (\mathbf{A}(s, e, n) \cdot \mathbf{M}(s, e, n)) + h_n^{l-1}$$

$$\tag{4}$$

where M(s,e,n) denotes the information transferred from the node s to the node n along the edge e, A(s,e,n) denotes the edge weight modeling the importance of the source node s on the target node n with the relationship e, and $Aggregate(\cdot)$ denotes the function to aggregate the transferred information from the neighbors of node n. We detail how to obtain $A(\cdot)$, $M(\cdot)$, and $Aggregate(\cdot)$ below.

Message For each edge (s, e, n), the message transferred from the source node s to the target node n can be formulated as:

$$\mathbf{M}(s,e,n) = W^{v}_{\tau(s)} h_{s}^{l-1} W_{\phi(e)} \tag{5}$$

where d denotes the dimensionality of the vector, $W^v_{\tau(s)} \in \mathbb{R}^{d \times d}$ and $W_{\phi(e)} \in \mathbb{R}^{d \times d}$ are two learnable weight matrixes controlling the outflow of node s from the node side and the edge side respectively.

For the receiver node n, the importance of each neighbor s with the relationship e can be viewed as the attention mechanism in Vaswani et al. (2017),

which relates different positions of a single sequence. To introduce the attention weights, we first map the source node s to a key vector K(s) and the target node n to a query vector Q(n):

$$K(s) = W_{\tau(s)}^k h_s^{l-1}, Q(n) = W_{\tau(n)}^q h_n^{l-1}$$
 (6)

where $W_{ au(s)}^k \in \mathbb{R}^{d \times d}$ and $W_{ au(n)}^q \in \mathbb{R}^{d \times d}$ are two learnable matrixes.

As we use different types of edges for node connections, we follow Hu et al. (2020) to keep a distinct edge-matrix $W_{\phi(e)} \in \mathbb{R}_{d \times d}$ for each edge type between the dot of Q(n) and K(s):

$$P(n,s) = K(s)W_{\phi(e)}Q(n)^{\mathsf{T}} \cdot \frac{\mu\langle \tau(s), \phi(e), \tau(n) \rangle}{\sqrt{d}},$$

$$A(s,e,n) = \underset{s \in \mathcal{N}(n), e \in \phi(e)}{\operatorname{softmax}} (P(Q(n), K(s))),$$
(7)

where $\mu \in \mathbb{R}^{|\mathcal{A}| \times |\mathcal{R}| \times |\mathcal{A}|}$ is a learnable matrix denoting the contribution of each edge with a different relationship. Similar to Vaswani et al. (2017), the final attention is the concatenation of heads which compute the attention weights independently:

$$\begin{aligned} & \text{MultiHead}(s, e, n) = \text{Concat}(\text{head}_1 \cdots \text{head}_g) W^O, \\ & \text{head}_i = \text{A}(s, e, n) \cdot \text{M}(s, e, n) \end{aligned} \tag{8}$$

where g is the number of heads for multi-head attention (8 is used for our model) and W^O is a learnable model parameter.

Aggregate For each edge (s, e, n), we now have the attention weight A(s, e, n) and the information M(s, e, n), the next step is to obtain the weighted-sum information from all neighboring nodes:

$$\operatorname{Aggregate}(\cdot) = W^o_{\tau(n)}(\underset{\forall s \in \mathcal{N}(n)}{\oplus} \operatorname{MultiHead}(s,e,n))$$

where \oplus is element-wise addition and $W^o_{\tau(n)} \in \mathcal{R}^{d\times d}$ is a learnable model parameter used as an activation function like a linear layer.

The aggregated representation for each input word is used as its final representation, passed to the softmax layer for classification. For all our experiments, the number of heads is 8.

5 Experiments

We conduct experiments on three widely-used subtasks of sequence labeling: named entity recognition (NER), part of speech tagging (POS), and Chinese Word Segmentation (CWS).

English CoNLL 2003									
Model	Precision	Recall	F1						
CVT (Clark et al., 2018)	-	-	92.22						
BERT-MRC (Li et al., 2019a)	92.33	94.61	93.04						
BERT-Large (Devlin et al., 2018)	_	-	92.8						
BERT-Large+KNN	92.90	92.88	92.86 (+0.06)						
BERT-Large+GNN	92.92	93.34	93.14 (+0.33)						
BERT-Large+GNN+KNN	92.95	93.37	93.16 (+0.35)						
RoBERTa-Large (Liu et al., 2019)	92.77	92.81	92.76						
RoBERTa-Large+KNN	92.82	92.99	92.93 (+0.17)						
RoBERTa-Large+GNN	93.00	93.41	93.20 (+0.44)						
RoBERTa-Large+GNN+KNN	93.02	93.40	93.20 (+0.44)						
English Onto	Notes 5.0								
Model	Precision	Recall	F1						
CVT (Clark et al., 2018)	-	-	88.8						
BERT-MRC (Li et al., 2019a)	92.98	89.95	91.11						
BERT-Large (Devlin et al., 2018)	90.01	88.35	89.16						
BERT-Large+KNN	89.93	91.65	90.78 (+1.62)						
BERT-Large+GNN	91.44	91.16	91.30 (+2.14)						
BERT-Large+GNN+KNN	91.47	91.14	91.32 (+2.16)						
RoBERTa-Large (Liu et al., 2019)	89.77	89.27	89.52						
RoBERTa-Large+KNN	90.00	91.26	90.63 (+1.11)						
RoBERTa-Large+GNN	91.38	91.17	91.30 (+1.78)						
RoBERTa-Large+GNN+KNN	91.48	91.29	91.39 (+1.87)						
Chinese OntoNotes 4.0									
Model	Precision	Recall	F1						
Lattice-LSTM (Zhang and Yang, 2018)	76.35	71.56	73.88						
Glyce-BERT (Meng et al., 2019)	81.87	81.40	80.62						
BERT-MRC (Li et al., 2019a)	82.98	81.25	82.11						
BERT-Large (Devlin et al., 2018)	78.01	80.35	79.16						
BERT-Large+KNN	80.23	81.60	80.91 (+1.75)						
BERT-Large+GNN	83.06	81.60	82.33 (+3.17)						
BERT-Large+GNN+KNN	83.07	81.62	82.35 (+3.19)						
ChineseBERT-Large (Sun et al., 2021)	80.77	83.65	82.18						
ChineseBERT-Large+KNN	81.68	83.46	82.56 (+0.38)						
ChineseBERT-Large+GNN	82.02	84.01	83.02 (+0.84)						
ChineseBERT-Large+GNN+KNN	82.21	83.98	83.10 (+0.92)						
Chinese M	ISRA								
Model	Precision	Recall	F1						
Lattice-LSTM (Zhang and Yang, 2018)	93.57	92.79	93.18						
Glyce-BERT (Meng et al., 2019)	95.57	95.51	95.54						
BERT-MRC (Li et al., 2019a)	96.18	95.12	95.75						
BERT-MRC (Li et al., 2019a) BERT-Large (Devlin et al., 2018)	96.18 94.97	95.12 94.62	94.80						
BERT-MRC (Li et al., 2019a) BERT-Large (Devlin et al., 2018) BERT-Large+KNN	96.18 94.97 95.34	95.12 94.62 94.64	94.80 94.99 (+0.19)						
BERT-MRC (Li et al., 2019a) BERT-Large (Devlin et al., 2018) BERT-Large+KNN BERT-Large+GNN	96.18 94.97 95.34 96.29	95.12 94.62 94.64 95.51	94.80 94.99 (+0.19) 95.90 (+1.10)						
BERT-MRC (Li et al., 2019a) BERT-Large (Devlin et al., 2018) BERT-Large+KNN	96.18 94.97 95.34	95.12 94.62 94.64	94.80 94.99 (+0.19)						
BERT-MRC (Li et al., 2019a) BERT-Large (Devlin et al., 2018) BERT-Large+KNN BERT-Large+GNN BERT-Large+GNN+KNN ChineseBERT-Large (Sun et al., 2021)	96.18 94.97 95.34 96.29	95.12 94.62 94.64 95.51	94.80 94.99 (+0.19) 95.90 (+1.10)						
BERT-MRC (Li et al., 2019a) BERT-Large (Devlin et al., 2018) BERT-Large+KNN BERT-Large+GNN BERT-Large+GNN+KNN ChineseBERT-Large (Sun et al., 2021) ChineseBERT-Large+KNN	96.18 94.97 95.34 96.29 96.31 95.61 95.83	95.12 94.62 94.64 95.51 95.54 95.61 95.68	94.80 94.99 (+0.19) 95.90 (+1.10) 95.93 (+1.13) 95.61 95.76 (+0.15)						
BERT-MRC (Li et al., 2019a) BERT-Large (Devlin et al., 2018) BERT-Large+KNN BERT-Large+GNN BERT-Large+GNN+KNN ChineseBERT-Large (Sun et al., 2021)	96.18 94.97 95.34 96.29 96.31 95.61	95.12 94.62 94.64 95.51 95.54	94.80 94.99 (+0.19) 95.90 (+1.10) 95.93 (+1.13) 95.61						

Table 1: NER results for two English datasets: CoNLL 2003 and OntoNotes 5.0, and two Chinese datasets: MSRA and OntoNotes 4.0.

5.1 Training Details

The Vanilla SL Model As described in Section 4, we need the pre-trained vanilla SL model to extract features to initial the nodes of the constructed graph. For all our experiments, we choose the standard BERT-large (Devlin et al., 2018) and RoBERTa-large (Liu et al., 2019) for English tasks, as well as the standard BERT-large and ChineseBERT-large (Sun et al., 2021) for Chinese tasks.

PKU			
Model	Precision	Recall	F1
Multitask pretrain (Yang et al., 2017)	-	-	96.3
CRF-LSTM (Huang et al., 2019)	-	-	96.6
Glyce-BERT (Meng et al., 2019)	97.1	96.4	96.7
BERT-Large (Devlin et al., 2018)	96.8	96.3	96.5
BERT-Large+KNN	97.2	96.1	96.6 (+0.1)
BERT-Large+GNN	96.9	96.6	96.8 (+0.3)
BERT-Large+GNN+KNN	96.9	96.7	96.8 (+0.3)
ChineseBERT-Large (Sun et al., 2021)	97.3	96.0	96.7
ChineseBERT-Large+KNN	97.3	96.1	96.7 (+0.0)
ChineseBERT-Large+GNN	97.6	96.2	96.9 (+0.2)
ChineseBERT-Large+GNN+KNN	97.7	96.2	96.9 (+0.2)
CITY	IJ		
Model	Precision	Recall	F1
Multitask pretrain (Yang et al., 2017)	-	-	96.9
CRF-LSTM (Huang et al., 2019)	-	-	97.6
Glyce-BERT (Meng et al., 2019)	97.9	98.0	97.9
BERT-Large (Devlin et al., 2018)	97.5	97.7	97.6
BERT-Large+KNN	97.8	97.8	97.8 (+0.2)
BERT-Large+GNN	98.0	98.1	98.0 (+0.4)
BERT-Large+GNN+KNN	98.0	98.1	98.0 (+0.4)
ChineseBERT-Large (Sun et al., 2021)	97.8	98.2	98.0
ChineseBERT-Large+KNN	98.1	98.0	98.1 (+0.1)
CHIRCSCOEKT-Large+GINN	98.2	98.4	98.3 (+0.3)
ChineseBERT-Large+GNN ChineseBERT-Large+GNN+KNN	98.2 98.3	98.4 98.4	98.3 (+0.3) 98.3 (+0.3)
ChineseBERT-Large+GNN+KNN			
ChineseBERT-Large+GNN+KNN MSR Model	98.3	98.4	98.3 (+0.3)
ChineseBERT-Large+GNN+KNN MSR Model Multitask pretrain (Yang et al., 2017)	98.3	98.4	98.3 (+0.3) F1
ChineseBERT-Large+GNN+KNN MSR Model	98.3	98.4	98.3 (+0.3) F1 97.5
ChineseBERT-Large+GNN+KNN MSR Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2019)	98.3 Precision 98.2	98.4 Recall 98.3	98.3 (+0.3) F1 97.5 97.9 98.3
ChineseBERT-Large+GNN+KNN MSR Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2019) BERT-Large (Devlin et al., 2018)	98.3 Precision -	98.4 Recall	98.3 (+0.3) F1 97.5 97.9
ChineseBERT-Large+GNN+KNN MSR Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2019)	98.3 Precision 98.2 98.1	98.4 Recall - 98.3 98.2	98.3 (+0.3) F1 97.5 97.9 98.3 98.1 98.3 (+0.2)
ChineseBERT-Large+GNN+KNN MSR Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2019) BERT-Large (Devlin et al., 2018) BERT-Large+KNN	98.3 Precision 98.2 98.1 98.3	98.4 Recall 98.3 98.2 98.4	98.3 (+0.3) F1 97.5 97.9 98.3 98.1
ChineseBERT-Large+GNN+KNN MSR Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2019) BERT-Large (Devlin et al., 2018) BERT-Large+KNN BERT-Large+GNN	98.3 Precision 98.2 98.1 98.3 98.4	98.4 Recall 98.3 98.2 98.4 98.3	98.3 (+0.3) F1 97.5 97.9 98.3 98.1 98.3 (+0.2) 98.4 (+0.3)
ChineseBERT-Large+GNN+KNN MSR Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2019) BERT-Large (Devlin et al., 2018) BERT-Large+KNN BERT-Large+GNN BERT-Large+GNN+KNN	98.3 Precision 98.2 98.1 98.3 98.4 98.4	98.4 Recall - 98.3 98.2 98.4 98.3 98.3	98.3 (+0.3) F1 97.5 97.9 98.3 98.1 98.3 (+0.2) 98.4 (+0.3) 98.4 (+0.3)
ChineseBERT-Large+GNN+KNN MSR Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2019) BERT-Large (Devlin et al., 2018) BERT-Large+KNN BERT-Large+GNN+KNN ChineseBERT-Large (Sun et al., 2021)	98.3 Precision 98.2 98.1 98.3 98.4 98.4 98.5	98.4 Recall 98.3 98.2 98.4 98.3 98.3 98.3	98.3 (+0.3) F1 97.5 97.9 98.3 98.1 98.3 (+0.2) 98.4 (+0.3) 98.4 (+0.3)
ChineseBERT-Large+GNN+KNN MSR Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2019) BERT-Large (Devlin et al., 2018) BERT-Large+KNN BERT-Large+GNN+KNN ChineseBERT-Large (Sun et al., 2021) ChineseBERT-Large+KNN	98.3 Precision 98.2 98.1 98.3 98.4 98.4 98.5 98.5	98.4 Recall 98.3 98.2 98.4 98.3 98.3 98.0 98.1	98.3 (+0.3) F1 97.5 97.9 98.3 98.1 98.3 (+0.2) 98.4 (+0.3) 98.4 (+0.3) 98.3 98.3 (+0.0)
ChineseBERT-Large+GNN+KNN Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2019) BERT-Large (Devlin et al., 2018) BERT-Large+KNN BERT-Large+GNN+KNN ChineseBERT-Large (Sun et al., 2021) ChineseBERT-Large+KNN ChineseBERT-Large+GNN	98.3 Precision 98.2 98.1 98.3 98.4 98.4 98.5 98.5 98.9	98.4	98.3 (+0.3) F1 97.5 97.9 98.3 98.1 98.3 (+0.2) 98.4 (+0.3) 98.4 (+0.3) 98.3 98.3 (+0.0) 98.5 (+0.2)
ChineseBERT-Large+GNN+KNN Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2019) BERT-Large (Devlin et al., 2018) BERT-Large+KNN BERT-Large+GNN+KNN ChineseBERT-Large (Sun et al., 2021) ChineseBERT-Large+KNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN	98.3 Precision 98.2 98.1 98.3 98.4 98.4 98.5 98.5 98.9	98.4	98.3 (+0.3) F1 97.5 97.9 98.3 98.1 98.3 (+0.2) 98.4 (+0.3) 98.4 (+0.3) 98.3 98.3 (+0.0) 98.5 (+0.2)
ChineseBERT-Large+GNN+KNN Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2019) BERT-Large (Devlin et al., 2018) BERT-Large+KNN BERT-Large+GNN BERT-Large+GNN+KNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN+KNN	98.3 Precision 98.2 98.1 98.3 98.4 98.5 98.5 98.9 98.9	98.4 Recall 98.3 98.2 98.4 98.3 98.0 98.1 97.9 98.0	98.3 (+0.3) F1 97.5 97.9 98.3 98.1 98.3 (+0.2) 98.4 (+0.3) 98.3 98.3 (+0.0) 98.5 (+0.2) 98.5 (+0.2)
ChineseBERT-Large+GNN+KNN Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2019) BERT-Large (Devlin et al., 2018) BERT-Large+KNN BERT-Large+GNN BERT-Large+GNN+KNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN+KNN AS Model	98.3 Precision 98.2 98.1 98.3 98.4 98.5 98.5 98.9 98.9	98.4 Recall 98.3 98.2 98.4 98.3 98.0 98.1 97.9 98.0	98.3 (+0.3) F1 97.5 97.9 98.3 98.1 98.3 (+0.2) 98.4 (+0.3) 98.3 98.3 (+0.0) 98.5 (+0.2) F1
ChineseBERT-Large+GNN+KNN Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2019) BERT-Large (Devlin et al., 2018) BERT-Large+KNN BERT-Large+GNN BERT-Large+GNN+KNN ChineseBERT-Large (Sun et al., 2021) ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN Model Multitask pretrain (Yang et al., 2017)	98.3 Precision 98.2 98.1 98.3 98.4 98.5 98.5 98.9 98.9	98.4 Recall 98.3 98.2 98.4 98.3 98.0 98.1 97.9 98.0	98.3 (+0.3) F1 97.5 97.9 98.3 98.1 98.4 (+0.3) 98.4 (+0.3) 98.3 (+0.0) 98.5 (+0.2) F1 95.7
ChineseBERT-Large+GNN+KNN Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2018) BERT-Large (Devlin et al., 2018) BERT-Large+GNN BERT-Large+GNN ChineseBERT-Large+KNN ChineseBERT-Large+KNN ChineseBERT-Large+GNN+KNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN+KNN AS Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019)	98.3 Precision 98.2 98.1 98.3 98.4 98.5 98.5 98.9 98.9 Precision	98.4 Recall 98.3 98.2 98.4 98.3 98.0 98.1 97.9 98.0 Recall -	98.3 (+0.3) F1 97.5 97.9 98.3 98.1 98.3 (+0.2) 98.4 (+0.3) 98.3 (+0.0) 98.5 (+0.2) 98.5 (+0.2) F1 95.7 96.6
ChineseBERT-Large+GNN+KNN Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2019) BERT-Large (Devlin et al., 2018) BERT-Large+KNN BERT-Large+GNN BERT-Large+GNN ChineseBERT-Large (Sun et al., 2021) ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN+KNN AS Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2019)	98.3 Precision 98.2 98.1 98.3 98.4 98.5 98.5 98.9 98.9 Precision 96.6	98.4 Recall 98.3 98.2 98.4 98.3 98.0 98.1 97.9 98.0 Recall 96.8	98.3 (+0.3) F1 97.5 97.9 98.3 98.1 98.3 (+0.2) 98.4 (+0.3) 98.3 (+0.0) 98.5 (+0.2) F1 95.7 96.6 96.7
ChineseBERT-Large+GNN+KNN Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2019) BERT-Large (Devlin et al., 2018) BERT-Large+KNN BERT-Large+GNN BERT-Large+GNN+KNN ChineseBERT-Large (Sun et al., 2021) ChineseBERT-Large+GNN BERT-Large+GNN+KNN AS Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2019) BERT-Large (Devlin et al., 2018)	98.3 Precision 98.2 98.1 98.3 98.4 98.5 98.5 98.9 98.9 Precision 96.6 96.7	98.4 Recall 98.3 98.2 98.4 98.3 98.0 98.1 97.9 98.0 Recall 96.8	98.3 (+0.3) F1 97.5 97.9 98.3 98.1 98.3 (+0.3) 98.4 (+0.3) 98.3 (+0.0) 98.5 (+0.2) F1 95.7 96.6 96.7
ChineseBERT-Large+GNN+KNN Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2018) BERT-Large (Devlin et al., 2018) BERT-Large+GNN BERT-Large+GNN ChineseBERT-Large+GNN BERT-Large+GNN+KNN AS Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2019) BERT-Large (Devlin et al., 2018) BERT-Large+KNN	98.3 Precision 98.2 98.1 98.3 98.4 98.5 98.5 98.9 98.9 Precision 96.6 96.7 96.2	98.4 Recall 98.3 98.2 98.4 98.3 98.0 98.1 97.9 98.0 Recall 96.8 96.4 96.9	98.3 (+0.3) F1 97.5 97.9 98.3 98.1 98.3 (+0.3) 98.4 (+0.3) 98.3 98.3 (+0.0) 98.5 (+0.2) 98.5 (+0.2) 96.6 96.7 96.6 96.7
ChineseBERT-Large+GNN+KNN Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2018) BERT-Large (Devlin et al., 2018) BERT-Large+GNN BERT-Large+GNN ChineseBERT-Large (Sun et al., 2021) ChineseBERT-Large+KNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN Dipute (Sun et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2019) BERT-Large (Devlin et al., 2018) BERT-Large+KNN BERT-Large+GNN	98.3 Precision 98.2 98.1 98.4 98.5 98.5 98.9 Precision 96.6 96.7 96.2 96.6	98.4 Recall 98.3 98.2 98.4 98.3 98.0 98.1 97.9 98.0 Recall 96.8 96.4 96.9 97.0	98.3 (+0.3) F1 97.5 97.9 98.3 98.1 98.4 (+0.3) 98.4 (+0.3) 98.5 (+0.2) 98.5 (+0.2) F1 95.7 96.6 96.7 96.5 96.6 (+0.1) 96.8 (+0.3)
ChineseBERT-Large+GNN+KNN Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2018) BERT-Large (Devlin et al., 2018) BERT-Large+GNN BERT-Large+GNN+KNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN ChineseBERT-Large+GNN BERT-Large+GNN+KNN AS Model Multitask pretrain (Yang et al., 2017) CRF-LSTM (Huang et al., 2019) Glyce-BERT (Meng et al., 2019) BERT-Large (Devlin et al., 2018) BERT-Large+GNN BERT-Large+GNN BERT-Large+GNN+KNN	98.3 Precision 98.2 98.1 98.3 98.4 98.5 98.5 98.9 Precision 96.6 96.7 96.2 96.6 96.6	98.4 Recall 98.3 98.2 98.4 98.3 98.0 98.1 97.9 98.0 Recall 96.8 96.4 96.9 97.0 97.0	98.3 (+0.3) F1 97.5 97.9 98.3 98.1 98.3 (+0.2) 98.4 (+0.3) 98.3 (+0.0) 98.5 (+0.2) 98.5 (+0.2) F1 95.7 96.6 96.7 96.5 96.6 (+0.1) 96.8 (+0.3) 96.8 (+0.3)

Table 2: CWS results for four datasets: PKU, CITYU, MSR, and AS.

97.7

96.9 (+0.2)

ChineseBERT-Large+GNN+KNN

 $k{
m NN}$ Retrieval In the process of $k{
m NN}$ retrieval, the number of nearest neighbors k is set to 32, and the size of the nearest context window is set to 7 (setting both the left and right side of the window to 3). The two numbers are chosen according to the evaluation in Section 6 and perform best in our experiments. For the k nearest search, we use the last layer output of the pre-trained vanilla SL

	Chinese CTB5			Chinese CTB6			Chinese UD1.4		
Model	Precision	Recall	F1	Precision	Recall	F1	Precision	Recall	F1
Joint-POS(Sig) (Shao et al., 2017)	93.68	94.47	94.07	-	-	90.81	89.28	89.54	89.41
Joint-POS(Ens) (Shao et al., 2017)	93.95	94.81	94.38	_	-	-	89.67	89.86	89.75
Lattice-LSTM (Zhang and Yang, 2018)	94.77	95.51	95.14	92.00	90.86	91.43	90.47	89.70	90.09
Glyce-BERT (Meng et al., 2019)	96.50	96.74	96.61	95.56	95.26	95.41	96.19	96.10	96.14
BERT-Large (Devlin et al., 2018)	95.86	96.26	96.06	94.91	94.63	94.77	95.42	94.17	94.79
BERT-Large+KNN	96.36	96.60	96.48 (+0.42)	95.14	94.77	94.95 (+0.18)	95.85	95.67	95.76 (+0.97)
BERT-Large+GNN	96.86	96.66	96.76 (+0.70)	96.46	94.82	95.64 (+0.85)	96.14	96.46	96.30 (+1.51)
BERT-Large+GNN+KNN	96.77	96.69	96.79 (+0.73)	96.44	94.84	95.64 (+0.85)	96.20	96.47	96.34 (+1.55)
ChineseBERT (Sun et al., 2021)	96.35	96.54	96.44	95.47	95.00	95.23	96.02	95.92	95.97
ChineseBERT+KNN	96.41	96.15	96.52 (+0.08)	95.48	95.09	95.29 (+0.06)	96.11	96.11	96.11 (+0.14)
ChineseBERT+GNN	96.46	97.41	96.94 (+0.50)	96.08	95.50	95.79 (+0.77)	96.18	96.54	96.36 (+0.39)
ChineseBERT+GNN+KNN	96.46	97.44	96.96 (+0.52)	96.13	95.58	95.85 (+0.82)	96.25	96.57	96.40 (+0.43)

Table 3: POS results for three Chinese datasets: CTB5, CTB6 and UD1.4.

English WSJ								
Model	Precision	Recall	F1					
Meta BiLSTM (Bohnet et al., 2018)	-	-	98.23					
BERT-Large (Devlin et al., 2018)	99.21	98.36	98.86					
BERT-Large+KNN	98.98	98.85	98.92 (+0.06)					
BERT-Large+GNN	98.84	98.98	98.94 (+0.08)					
BERT-Large+GNN+KNN	98.88	98.99	98.96 (+0.10)					
RoBERTa-Large (Liu et al., 2019)	99.22	98.44	98.90					
RoBERTa-Large+KNN	99.21	98.52	98.94 (+0.04)					
RoBERTa-Large+GNN	98.90	99.06	99.00 (+0.10)					
RoBERTa-Large+GNN+KNN	98.90	99.06	99.00 (+0.10)					
English Tweets								
Model	Precision	Recall	F1					
FastText+CNN+CRF	-	-	91.78					
BERT-Large (Devlin et al., 2018)	92.33	91.98	92.34					
BERT-Large+KNN	92.77	92.02	92.39 (+0.05)					
BERT-Large+GNN	92.38	92.52	92.45 (+0.11)					
BERT-Large+GNN+KNN	92.42	92.53	92.48 (+0.14)					
RoBERTa-Large (Liu et al., 2019)	92.40	91.99	92.38					
RoBERTa-Large+KNN	92.44	92.11	92.46 (+0.08)					
RoBERTa-Large+GNN	92.49	92.53	92.51 (+0.13)					
RoBERTa-Large+GNN+KNN	92.49	92.54	92.52 (+0.14)					

Table 4: POS results for two English datasets: WSJ and Tweets.

model as the representation and the L^2 distance as the metric of similarity comparison.

5.2 Control Experiments

To better show the effectiveness of the proposed model, we compare the performance of the following setups: (1) **vanilla SL models**: vanilla models naturally constitute a baseline for comparison, where the final layer representation is fed to a softmax function to obtain p_{vanilla} for label prediction; (2) **vanilla** + k**NN**: the kNN probability p_{k} NN is interpolated with p_{vanilla} to obtain final predictions; (3) **vanilla** + **GNN**: the representation generated from the final layer of GNN is passed to the softmax layer to obtain the label probability p_{GNN} ; (4) **vanilla** + **GNN** + k**NN**: the kNN probability p_{k} NN is interpolated with the GNN probability p_{G} NN to

obtain final predictions, rather than the probability from the vanilla model, as in vanilla + kNN.

5.3 Named Entity Recognition

Details The task of NER is normally treated as a char-level tagging task: outputting a NER tag for each character. We conduct experiments on CoNLL2003 (Sang and De Meulder, 2003) and OntoNotes5.0 (Pradhan et al., 2013) for English, and MSRA (Levow, 2006), OntoNotes4.0 (Pradhan, 2011) for Chinese.

For the chosen baselines, we make a comparison with Cross-View Training CVT (Clark et al., 2018), BERT-MRC (Li et al., 2019a) for English datasets and Lattice-LSTM (Zhang and Yang, 2018), Glyce-BERT (Meng et al., 2019), BERT-MRC (Li et al., 2019a) for Chinese datasets.

Results Results for the NER task are shown in Table 1, and from the results:

- (1) We observe a significant performance boost brought by kNN, respectively +0.06, +1.62, +1.75 and +0.19 for English CoNLL 2003, English OntoNotes 5.0, Chinese OntoNotes 4.0 and Chinese MSRA. which proves the importance of incorporating the evidence of retrieved neighbors.
- (2) We observe a significant performance boost for vanilla+GNN over both the vanilla model: respectively +2.14 on the BERT-Large and +1.78 on the RoBERTa-Large for English OntoNotes 5.0, and +1.10 on the BERT-Large and +0.40 on the ChineseBERT-Large for Chinese MSRA. Due to the fact that both vanilla+GNN and the vanilla model output the final layer representation to the softmax function to obtain final probability and that $p_{\rm kNN}$ do not participate in the final probability interpolation for both, the performance boost over vanilla SL demonstrates that we are able to obtain

Input Sentence #1	
Hornak moved on from Tigers to <i>Phoenix</i> for studies and work. Ground Truth: ORGANIZATION, Vanilla SL Output: LOCATION, GNN-SL Output: ORGANIZATION	
Retrieved Nearest Neighbors	Retrieved Label
 1-th: Hornak signed accomplished performance in a Tigers display against <u>Phoenix</u>. 8-th: Blinker was fined 75,000 Swiss francs (\$57,600) for failing to inform the English club of his previous commitment to <u>Udinese</u>. 16-th: Since we have friends in <u>Phoenix</u>, we pop in there for a brief visit. 	ORGANIZATION ORGANIZATION LOCATION
Input Sentence #2	
Australian <i>Tom Moody</i> took six for 82 but Tim O'Gorman, 109, took Derbyshire to 471. Ground Truth: PERSON, Vanilla SL Output: - (Not an Entity), GNN-SL Output: PERSON	
Retrieved Nearest Neighbors	Retrieved Label
 1-th: At California, <i>Troy O'Leary</i> hit solo home runs in the second inning as the surging Boston Red Sox. 8-th: Britain's <i>Chris Boardman</i> broke the world 4,000 meters cycling record by more than six seconds. 16-th: Japan coach <i>Shu Kamo</i> said: The Syrian own goal proved lucky for us. 	PERSON PERSON PERSON

Table 5: Retrieved nearest examples from English OntoNotes 5.0 dataset, where the labeled words are underlined.

better token-level representations using GNNs.

- (3) When comparing with vanilla+kNN, we observe further improvements of +0.33, +2.14, +3.17 and +1.10 for English CoNLL 2003, English OntoNotes 5.0, Chinese OntoNotes 4.0 and Chinese MSRA dataset, respectively, showing that the proposed GNN model has the ability to filtrate the useful neighbors to augment the vanilla SL model;
- (4) There is an inconspicuous improvement brought by interpolating both the $k{\rm NN}$ probability and GNN probability (vanilla + $k{\rm NN}$ + GNN) over the proposed GNN-SL (vanilla + GNN), e.g., +2.16 v.s. +2.14 on the BERT-Large for English OntoNotes 5.0, and +1.13 v.s. +1.10 on the BERT-Large for Chinese MSRA. This demonstrates that as the evidence of retrieved nearest neighbors (and their labels) has been assimilated through GNNs in the representation learning stage, the extra benefits brought by interpolating $p_{\rm knn}$ in the final prediction stage is significantly narrowed.

5.4 Chinese Word Segmentation

Details The task of CWS is normally treated as a char-level tagging problem: assigning *seg* or *not seg* for each input word.

For evaluation, four Chinese datasets retrieved from SIGHAN 2005³ are used: PKU, MSR, CITYU, and AS, and benchmarks Multitask pretrain (Yang et al., 2017), CRF-LSTM (Huang et al., 2019) and Glyce+BERT (Meng et al., 2019) are chosen for the comparison.

Results Results for the CWS task are shown in Table 2. From the results, same as the former Section 5.3, with different vanilla models as the back-

bone, we can observe obvious improvements by applying the kNN probability (vanilla + kNN) or the GNN model (vanilla + GNN), while keeping the same results between vanilla + GNN and vanilla + GNN + kNN, e.g., for PKU dataset +0.1 on BERT + kNN, +0.3 both on BERT + GNN and BERT + GNN + kNN. Notablely we achieve SOTA for all four datasets with the ChineseBERT: 96.9 (+0.2) on PKU, 98.3 (+0.3) on CITYU, 98.5 (+0.2) on MSR and 96.9 (+0.2) on AS.

5.5 Part of Speech Tagging

Details The task of POS is normally formalized as a character-level sequence labeling task, assigning labels to each of the input word.

We use Wall Street Journal (WSJ) and Tweets (Ritter et al., 2011) for English datasets, Chinese Treebank 5.0, Chinese Treebank 6.0, and UD1.4 (Xue et al., 2005) for Chinese datasets.

For the comparisons, we choose Meta-BiLSTM (Bohnet et al., 2018) for English datasets and Joint-POS (Shao et al., 2017), Lattice-LSTM (Zhang and Yang, 2018) and Glyce-BERT (Meng et al., 2019) for Chinese datasets.

Results Results for the POS task are shown in Table 3 for Chinese datasets and Table 4 for English datasets. As shown, with different vanilla models and datasets, the phenomenons are the same as the former Section 5.3 that improves largely based on the kNN probability and further on the GNN model. For the results of Chinese CTB5 as the example, +0.42 on BERT + kNN, further +0.70 on BERT + GNN, and +0.73 on BERT + GNN + kNN.

Examples To illustrate the augment of our proposed GNN-SL, we visualize the retrieved kNN examples as well as the input sentence in Table 5.

³The website of the 4-th Second International Chinese Word Segmentation Bakeoff (SIGHAN 2005) is: http://sighan.cs.uchicago.edu/bakeoff2005/

For the first example the long-tail case "*Phoenix*", which is assigned with "LOCATION" by the vanilla SL model, is amended to "ORGANIZATION" by the nearest neighbors. Especially, we can observe that both 1-th and 8-th retrieved labels are "ORGANIZATION" while the 16-th retrieved label is "LOCATION" which is against the ground truth. That phenomenon proves that retrieved neighbors do relate to the input sentence in different ways: some are close to the original input sentence while others are just noise, and our proposed GNN-SL has the ability to better model the relationships between the retrieved nearest examples and the input word. For the second example, with the augment of the retrieved nearest neighbors, our proposed GNN-SL outputs the correct label "PERSON" for the word "Tom Moody".

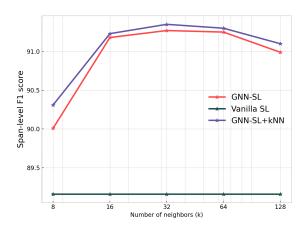


Figure 3: Experiments on English OntoNotes 5.0 datasets by varying the number of neighbors k.

6 Ablation Study

6.1 The Number of Retrieved Neighbors

To evaluate the influence of the amount of the retrieved information from the training set, we conduct experiments on English OntoNotes 5.0 for the NER task by varying the number of neighbors k. The results are shown in Figure 3. As can be seen, as k increases, the F1 score of GNN-SL first increases and then decreases. The explanation is as follows, as more examples are, more noise is introduced and relevance to the query decreases, which makes performance worse.

6.2 Effectiveness of Label Nodes

In Section 4.2, labels are used as nodes in the graph construction process, where their influence can be propagated to the input nodes through $r_{\text{label-neighbor}}$

and $r_{\rm neighbor-input}$. To evaluate the effectiveness of that strategy, we conducted contrast experiments by removing the label nodes. The experiments are based on the BERT-Large model and adjusted to the best parameters, and the results are shown in Table 6 for all three tasks. All the results show a decrease after removing the label nodes, especially -0.42 for the POS Chinese CTB6 dataset and -0.32 for the NER Chinese MSRA dataset, which proves the necessity of directly incorporating label information of neighbors in passing the message.

6.3 The Size of the Context Window

In Section 4.2, to acquire the context information of each retrieved nearest word we expand the retrieved nearest word to the nearest context. We experiment with varying context sizes to show the influence. Results on English OntoNotes 5.0 for the NER task are shown in Table 7. We can observe that, as the context size increases, performance first goes up and then plateaus. The explanation is as follows: a decent size of context is sufficient to provide enough information for predictions.

6.4 kNN search without Fine-tuning

In section 4, we use the representations obtained by the fine-tuned pre-trained model on the labeled training set to perform kNN search. To validate its necessity, we also conduct an experiment that directly uses the BERT model without fine-tuning to extract representation. We evaluate its influence on CoNLL 2003 for the NER task and observe a sharp decrease when switching the fine-tuned SL model to a non fine-tuned BERT model, i.e., 93.17 v.s. 92.83. The explanation is due to the gap between the language model task and the sequence labeling task, and that nearest neighbors retrieved by a vanilla pre-trained language model might not be the NEAREST neighbor for the SL task.

7 Conclusion

In this work, we propose graph neural networks sequence labeling (GNN-SL), which augments the vanilla SL model output with similar tagging examples retrieved from the whole training set. Since not all the retrieved tagging examples benefit the model prediction, we construct a heterogeneous graph, in which nodes represent the input words associating its nearest examples and edges represent the relationship between each node, and leverage graph neural networks (GNNs) to transfer informa-

					Task N	TED.						
	Engl	lish CoNl	LL 2003	Engli		Notes 5.0	Chin	ese Onto	Notes 4.0	(Chinese N	ISRA
Model	Precision	Recall	F1	Precision	Recall	F1	Precision	Recall	F1	Precision	Recall	F1
BERT+GNN	92.97	93.37	93.17	91.40	91.15	91.27	83.04	81.56	82.30	96.69	95.51	95.90
$BERT+GNN-without\ Label\ nodes$	92.99	93.29	93.14 (-0.03)	91.66	90.70	91.18 (-0.09)	83.23	81.06	82.13 (-0.17)	95.86	95.31	95.58 (-0.32)
					Task C	WS						
		PKU			CITY	U		MSR			AS	
Model	Precision	Recall	F1	Precision	Recall	F1	Precision	Recall	F1	Precision	Recall	F1
BERT+GNN	96.9	96.6	96.8	98.0	98.1	98.0	98.4	98.3	98.4	96.6	97.0	96.8
$BERT+GNN-without\ Label\ nodes$	97.1	96.2	96.7 (-0.1)	97.9	97.8	97.9 (-0.1)	98.4	98.2	98.3 (-0.1)	96.3	97.1	96.7 (-0.1)
					Task P	OS						
	(Chinese C	TB5	(Chinese C	TB6	C	hinese U	D1.4		English '	WSJ
Model	Precision	Recall	F1	Precision	Recall	F1	Precision	Recall	F1	Precision	Recall	F1
BERT+GNN	96.87	96.69	96.78	96.44	94.82	95.63	96.15	96.47	96.31	98.85	98.99	98.95
BERT+GNN-without Label nodes	96.36	96.58	96.46 (-0.32)	95.69	94.69	95.19 (-0.42)	95.99	96.05	96.02 (-0.29)	98.97	98.87	98.92 (-0.003

Table 6: Experiments without label nodes on three tasks: NER, CWS, and POS.

F1-score on English OntoNotes 5.0						
Context (l+r+1) / Model	F1-score					
The Vanilla SL Model	89.16					
GNN-SL						
+ by setting context=3	91.16 (+2.00)					
+ by setting context=5	91.24 (+2.08)					
+ by setting context=7	91.27 (+2.11)					
+ by setting context=9	91.27 (+2.11)					
+ by setting context=11	91.27 (+2.11)					

Table 7: F1-score on English OntoNotes 5.0 by varying the context size of the retrieved neighbors

tion from the retrieved nearest tagging examples to the input word. This strategy enables the model to directly acquire similar tagging examples and improves the effectiveness in handling long-tail cases. We conduct multi experiments and analyses on three sequence labeling tasks: NER, POS, and CWS. Notably, GNN-SL achieves SOTA 96.9 (+0.2) on PKU, 98.3 (+0.4) on CITYU, 98.5 (+0.2) on MSR, and 96.9 (+0.2) on AS for the CWS task.

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